Liquid Capital Statement

for the month of 31-MAY-22

of M/s. Iqbal Usman Kodv. Sec. (Pvt.) Ltd

Submission Date 08-JUN-2022 16:53:20

Page 1 Of 3

In Funited, 100% of carry or the part of t	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
In Content of the Con	1	Assets			
1.32 Investment in Debt. Securities 0 0 1 1 Investment in Debt. Securities 0 0 0 1 1 Investment in Debt. Securities 0 0 0 0 0 0 0 0 0	1.1	Property & Equipment	8,964,208	8,964,208	
Investment in DoLt. Securities				, ,	
Fill Silsert Room Comment Comm			0	0	
Life for the balance sheet value in the case of tenue upto 1 year. 1.75 of the balance sheet value, in the case of fenue from 1.3 years. 1.75 of the balance sheet value, in the case of fenue from 1.3 years. 1.75 of the balance sheet value, in the case of fenue from 1.3 years. 1.75 of the balance sheet value, in the case of fenue into 1.3 years. 1.75 of the balance sheet value, in the case of fenue from 1.2 years. 1.75 of the balance sheet value, in the case of fenue from 1.2 years. 1.75 of the balance sheet value, in the case of fenue from 1.2 years. 1.75 of the balance sheet value, in the case of fenue from 1.2 years. 1.75 of the balance sheet value, in the case of fenue from 1.2 years. 1.75 of the balance sheet value, in the case of fenue from 1.2 years. 1.75 of the balance sheet value, in the case of fenue from 1.2 years. 1.75 of the balance sheet value, in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the case of fenue from 1.2 years. 1.75 of the balance sheet value in the	1.4				
ii 15% of the belatings sheet value, in the case of feature from the may sees. It unlisted that: It unlist			0	0	
If unlisted than:		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.		-	
108 of the balance sheet value in the case of feature from 1.3 years.			0	0	
IL 125% of the balance sheet value, in the case of tenue from 1-3 years.			0	0	
Investment in Equity Securities If listed 19% or Vak of each securities on the cutoff date as computed by the Securities Exchange for especible securities whichever is higher. If understand, 100% of camping value If subscription money against Investment in IPOstfer for Sale. Amount paid as subscription money If subscription money against Investment in IPOstfer for Sale. Amount paid as subscription money If the Marcut shall be applied to Vake or the resident in the investment of securities become In that are in Block, Freeze or Piedge status as on reporting date. (July 19, 2017) Provided that 100% Instanct shall not be applied to each of investment in Information of Instance of Instance of Instance of Sale and Ins		ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.		-	
If listed 15% or Vall of each securities on the cutoff date as computed by the Securities Exchange for espective securities whichever is higher. If unitsed, 10% of capring value. If unitsed, 10% of capring value is unitsed to the value of the	1.5		0	0	
respective securities whichever is higher. ii. If unitiest, 100% of carrying value. iii. Subscription money against investment in IPOoffer for Sale Amount paid as subscription money iii. Subscription money against investment in IPOoffer for Sale Amount paid as subscription money part of the state of the state of the paid of the paid of the investments of securities better. that are in Block, Freeze or Pedge status as on reporting date. (July 19, 2017) Provided that 100% haster shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Flanching requirements or pledged in favor of Stock Exchange / Clearing House against Margin Flanching requirements or pledged in favor of Bank against Short Item Flanching requirements or pledged in favor of Bank against Short Item Flanching requirements or pledged in favor of Stock Exchange / Clearing House against Margin Flanching requirements or pledged in favor of Stock Exchange / Clearing House against Margin Flanching requirements or pledged in favor of Stock Exchange Act of Investment in Stock Bank against Investment in associated companies submederal king. 1.6 1.7 1.7 1.7 1.7 1.7 1.7 1.	1.0		4.087.440	617.552	3,469,888
III Subscription money against investment in IPODRef or Sale: Amount paid as subscription money on provided that shares have not been alloted or are not included in the investments of securities broker.		respective securities whichever is higher.			, ,
povided that shares have not been alloted or are not included in the investments of securities broker. In 1008 Ishiruct shall be applied to Value of investment in any saset including shares of listed securities. In a spiral of the share				-	
that are in Block, Piezze of Pledge status as on reporting date. (July 19, 2017) Plovided that 100% history shall be to applied in case of Investment in those securities which are Pledged in Tavor of Stock Exchange (Clearing House against Margin Financing requiements or pledged in favor of Brains against Short ferm financing strangements. In such cases, the halicut as provided in schedule till of the Regulations in respect of investment in ascusities shall be applicable (August 25, 2017) 1.7 1.7 1.7 1.7 1.7 1.7 1.7		provided that shares have not been alloted or are not included in the investments of securities broker.	0	0	
Provided that 100% halicut shall not be applied in case of investment in those securities which are Pedged in favor of Banks against Short Items (International Control of Pedged in favor of Banks against Short Items (International Control of Pedged in favor of Banks against Short Items (International Control of Pedged In favor of Banks against Short Items (International Control of Pedged In favor of Banks against Short Items (International Control of Pedged International Co			0	0	
Piedged in favor of Stock Exchange (Clearing louve against Margin Financing requirements or pledged in favor of Banks against Short lemf inancing arrangements in such cases, the halicut as provided in schedule III of the Regulations in respect of investment in suscialists of the Regulations in respect of investment in suscialists of each securities shall be applicable (August 25, 2017) 1.7 Investment in associated companies/undertaking in life and the subject of the subject of the Regulations in sepect of investment in securities as computed by the Securities Exchange for respective securities of the Regulations of each securities as computed by the Securities Exchange for respective securities of the Regulation of the Re					
schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in associated companies undertaking II il itsel 20% or Var 6 reach securities as computed by the Securites Exchange for respective securities 0 0 0 Investment in associated companies under the Securities Exchange for respective securities 0 0 0 1.50.00000 1.50.00000 1.50.0000 1.50.00000 1.50.00000 1.50.000000 1.50.0000000000		Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
Investment in associated companiesundertaking					
If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities with the list for provided by the Securities Exchange for respective securities 0 0 0	1.6		0	0	
whichever is higher. Ii. If unitisted, 100% of net value. 1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposits with exchange and clearing house. 1.110 Objects with a unbroided intermedial and against borrowed securities under SLB. 1.110 Objects with a unbroided intermedial and against borrowed securities under SLB. 1.111 Objects with a unbroided intermedial and propose securities under SLB. 1.112 Accurace in treest, profit or mark-up on amounts placed with financial institutions or debt securities 1.12 Accurace interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.13 (Dividends receivables) 1.14 Amount paid as purchased under the RFPO agreement. (Securities purchased under repo airangement shall not be included in the investments). 1.15 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.16 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.17 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.18 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.19 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.10 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.110 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.1111 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.112 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.113 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.114 (Short Ferm Loan To Employees Loans are Secured and Due for repayment within 12 months 1.115 (Short Ferm Loan To Employees Loans are Secured and Due for repayment wi	1.7				
II. Funlisted, 100% of net value. 0			0	0	
or any other entity. 19 Margin deposits with exchange and clearing house. 110 Deposit with authorized intermediary against borrowed securities under SLB. 110 Deposit with authorized intermediary against borrowed securities under SLB. 111 Other deposits and prepayments 114,040 114,040 115 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 113 Dividends receivables. 114 Amounts receivable against Repo financing. 115 Amounts receivable against Repo financing. 116 Amounts receivable against Repo financing. 117 Amounts receivable against Repo financing. 118 Advance tax to the extent it is netted with provision of taxation. 119 II. Short ferm Loan for Employees: Loans are Secured and Due for repayment within 12 months 110 II. Receivables other than trade receivables 111 Receivables from Clearing house or securities exchange(s) 112 II. Short ferm Loan form Clearing house or securities exchange(s) 113 II. Receivable on entitlements against trading of securities in all markets including MIM gains. 116 Receivables on must be supposed to the suppose of securities in all markets including MIM gains. 117 Receivables on must be supposed as collateral after applying VAR based Haircut, (ii) cash deposited as collateral by the finance (iii) market value of any securities begosited as collateral after applying VAR based haircut, (ii) cash deposited as collateral by the finance (iii) market value of any securities borowings under SLB, the amount paid to NCCPL as 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0			0	0	
1.10 Margin deposits with exchange and clearing house. 3.013,738 0 3.013,738 0 0.00	1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,500,000	1,500,000	
1.10 Deposit with authorized Intermediary against borrowed securities under SLB 0 0 14,040 11,040 11,040 11,040 11,040 11,040 12,040 12,040 14,040 10,041	1.0		3 013 738	0	3 013 738
1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 0 0 0 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 0 0 0 0 1.13 100% in respect by the provided in the investments 0 0 0 0 0 0 0 0 0			0,015,750	-	
etc.(Nii) 100% in respect of markup accused on loans to directors, subsidiaries and other related parties 0 0 0 1.13 Dividends receivables 0 0 0 1.14 Amounts receivable against Repo financing Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 Is first if erm Loan for Employees: Loans are Secured and Due for repayment within 12 months 0 0 0 1.16 Receivables from Clearing house or securities exchange(s) 1.17 Is Receivables from Clearing house or securities exchange(s) 1.18 Receivables from Clearing house or securities exchange(s) 1.19 Is Receivable on entitlements against trading of securities in all markets including MtM gains. 1.10 Receivables on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers 1.18 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, (iii) cash expectivables are against margin trading, 5% of the net balance sheet value. 1.1. Lower of net balance sheet value or value determined through adjustments. 1.1. Lower of net balance sheet value or value determined through adjustments. 1.1. Lower of net balance sheet value or value determined through adjustments. 1.1. Lower of net balance sheet value or value determined through adjustments. 1.1. Lower of net balance sheet value or value determined through adjustments. 1.1. Lower of net balance sheet value or value determined through adjustments. 1.1. Lower of net balance sheet value or value determined through adjustments. 1.1. Lower of other trade receivables not margin trading, 5% of the net balance sheet value. 1.1. Lower of other trade receivables not margin trading, 5% of the net balance sheet value. 1.1. Lower of other trade receivables are against margin trading,					
1.13 Dividends receivables 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 I. Short Ierm Loan To Employees: Loans are Secured and Due for repayment within 12 months 0 0 0 1.15 II. Short Ierm Loan To Employees: Loans are Secured and Due for repayment within 12 months 0 0 0 1.16 Receivables other than trade receivables 1.17 Receivables other than trade receivables 1.18 Receivables from clearing house or securities exchange(s) 1.19 Advance tax to the extent it is netted with provision of taxation. 1.10 Receivables from clearing house or securities exchange(s) 1.10 Walue of claims other than those on account of entitlements against trading of securities in all market sincluding MtM gains. 1.17 Receivables from customers 1.18 Receivables from customers 1.19 Acceivables from customers 1.10 Receivables from customers 1.10 Receivables from customers 1.10 Receivables from customers 1.11 Receivables from customers 1.12 Receivables from customers 1.13 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. 1.10 Lower of net balance sheet value or value determined through adjustments. 1.19 II. Incase receivables are against margin trading, 5% of the net balance sheet value. 1.10 Receivables of the trade receivables are ordinated. 1.11 Receivable of the trade receivables are ordinated. 1.12 Receivables of the trade receivables are overdue, of 5 days or more, the aggregate of (i) the market value. 1.11 Receivables of trade receivables are overdue, of 5 days or more, the aggregate of (i) the market value. 1.12 Receivables of the trade receivables are overdue, of 5 days or more, the aggregate of (i) the market value of securities purchased for customers	1.12		0	0	
1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 I. Short lerm Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.16 PLUS II. Advance tax to the extent it is netted with provision of taxation. III. Receivables other than trade receivables 1.16 Receivables from clearing house or securities exchange(s) 1.17 Receivables from clearing house or securities exchange(s) 1.18 Receivable or entitlements against trading of securities in all markets including MtM gains. 1.19 Receivable or entitlements against trading of securities in all markets including MtM gains. 1.10 Receivables from customers 1.10 Lase receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based halicut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based halicut, ii. Loans receivables are against margin financing. Sw of the net balance sheet value. 1.10 Loans receivables are against margin financing, 5% of the net balance sheet value. 1.10 Loans receivables are against margin financing. In the aggregate of (i) the market value. 1.11 Receivables are against margin financing. In the aggregate of (i) the market value. 1.12 Receivables are against margin financing. In the aggregate of (i) the market value. 1.13 Receivables are against margin financing. In the aggregate of (i) the market value. 1.14 Receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haicut 1.14 Receivables are ceivables and receivables not more than 5 days overdue, 0% of the net balance sheet value. 1.15 Receivables are receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and he			-		
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments) 1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months II. Advance tax to the extent it is netted with provision of taxation. III. Receivables other than trade receivables 1.16 Receivables from clearing house or securities exchange(s) I. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. II. Receivables from customers II. Receivables from customers II. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities peosited as collateral after applying VAR based haircut. II. Incase receivables are against margin trading, 5% of the net balance sheet value. III. Incase receivables are against margin trading, 5% of the net balance sheet value. III. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral penetring into contract, iii. Net amount after deducting haircut III. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut IV. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. IV. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties. II. Bank Balance-proprietory accounts III. Bank Balance-proprietory accounts III. Bank Balance-proprietory accounts				-	
1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS ii. Advance tax to the extent it is netted with provision of taxation. iii. Receivables other than trade receivables 9,197,191 9,197,191 1.16 Receivables other than trade receivables 9,197,191 9,197,191 1.16 Receivables from clearing house or securities exchange(s)	1.11	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
PLUS ii. Advance tax to the extent it is netted with provision of taxation. iii. Receivables other than trade receivables 1.16 Receivables from Clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables from customers ii. Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. Incase receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin financing, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market v. Incase of other trade receivables and haircuts, vi. 100% haircut in the case of amount receivable form related parties. 774,116 2.28 hand Balance -	1 15	shall not be included in the investments.)	0	0	
iii. Receivables other than trade receivables Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. Lower of net balance sheet value or value determined through adjustments. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iiii. Net amount after deducting haircut iiv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances ii. Bank Balance-proprietory accounts	1.15		0	0	
1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from Customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 774,116 1.18 Cash and Bank balances ii Bank Balance-proprietory accounts					
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral phyling VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 11.8 Cash and Bank balances ii. Bank Balance-proprietory accounts	1 16		9,197,191	9,197,191	
markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 24,563,013 23,252,025 23,252,025 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 774,116 774,116 Cash and Bank balances i. Bank Balance-proprietory accounts	1.10		0	0	
1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts in the case of amount receivable form related parties. 774,116 Cash and Bank balances i. Bank Balance-proprietory accounts 776,464 0 17,676,464		markets including MtM gains.			
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Net amount after deducting haircut iv. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 1.60 1.7676,464 1.7676,464	1 17		466,430	0	466,430
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 1.7676,464 O 17,676,464	1.17		0	0	
i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iiii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 774,116 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts		blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)	_		
ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 774,116 Cash and Bank balances i. Bank Balance-proprietory accounts					
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts 17,676,464 0 17,676,464			0	0	
collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 774,116 Cash and Bank balances i. Bank Balance-proprietory accounts 17,676,464 0 17,676,464			0	0	
iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 774,116 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 71,676,464 0 17,676,464			0		
iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 774,116 Cash and Bank balances i. Bank Balance-proprietory accounts 17,676,464 0 17,676,44		iii. Net amount after deducting haricut			
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 23,252,025 24,563,013 24,563,013 23,252,025 24,563,013 24,563,013 23,252,025 24,563,013 2			8,178,406	0	8,178,406
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 774,116 Cash and Bank balances i. Bank Balance-proprietory accounts 17,676,464 0 17,676,44			24,563,013	23,252,025	23,252,025
securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 774,116 Cash and Bank balances i. Bank Balance-proprietory accounts 17,676,464 0 17,676,464		value of securities purchased for customers and held in sub-accounts after applying VAR based			
vi. 100% haircut in the case of amount receivable form related parties. 774,116 774,116 Cash and Bank balances i. Bank Balance-proprietory accounts 17,676,464 0 17,676,464					
i. Bank Balance-proprietory accounts 17,676,464 0 17,676,464			774,116	774,116	
	1.18				
lii Pank balanco, customor accounts		i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	17,676,464 73,909,646		

Liquid Capital Statement

for the month of 31-MAY-22

of M/s. Iqbal Usman Kodv. Sec. (Pvt.) Ltd

Submission Date 08-JUN-2022 16:53:20

Page 2 Of 3

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
D.110.	nead of Account	Pak Rupee	Adjustments	Value
1.19	iii. Cash in hand	3,758 154,848,450	0 46,819,132	3,758 129,970,355
2	Total Assets	154,646,450	40,619,132	129,970,355
2.1	Liabilities Trade Payables			
2.1	Trade Payables i. Payable to exchanges and clearing house	0	0	
<i>i</i>	ii. Payable against leveraged market products	0	-	
	iii. Payable to customers	73,909,646	0	73,909,646
2.2	Current Liabilities			
	i. Statutory and regulatory dues	976,362	0	976,362
	ii. Accruals and other payables iii. Short-term borrowings	964,055 0		964,055
	iv. Current portion of subordinated loans	0		
	v. Current portion of long term liabilities	0		
	vi. Deferred Liabilities	0	· ·	500,000
	vii. Provision for bad debts viii. Provision for taxation	562,992 0	0	562,992
	ix. Other liabilities as per accounting principles and included in the financial statements	0		
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from	0	0	
	a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits	0		
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in	0		
	respect of advance against shares if:	· ·		
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	0	0	
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the	U	o l	
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	0	0	
2.5	Total Liabilites	76,413,055	0	76,413,055
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the	0	0	
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:	0	0	
	(i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription	0	0	
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting	-	-	
3.4	(b) in any other case: 12.5% of the net underwriting commitments	0	0	
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	0	0	
	exceed the total liabilities of the subsidiary	U		
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total	0	0	
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency	_	-	
3.6	Amount Payable under REPO	0	0	
3.7	1			

Liquid Capital Statement

for the month of 31-MAY-22

of M/s. Iqbal Usman Kodv. Sec. (Pvt.) Ltd

Submission Date 08-JUN-2022 16:53:20

Page 3 Of 3

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.	0	0	
	In the case of financeekeller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	402,120	402,120	402,120
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	0	0	
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met	0	0	
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	0	
3.11	Total Ranking Liabilites	402,120	402,120	402,120
3.12	Liquid Capital	78,033,275	46,417,012	53,155,180