Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **28-FEB-19**

of M/s. Iqbal Usman Kodv. Sec. (Pvt.) Ltd

Submission Date 04-MAR-2019 18:22:40

PR-E trajeo Adjustments Value 1 Recent 14.40.058		SUDITISSION Date 04-MAR-2019 16.22.40		Page	1 Of 3
13 Property & Ecolumnent 14.440.286 14.440.286 13 Interstition for Securities 2.500.000 2.500.000 14 Investment in Debt. Socurities 0 0 15 Interstition in Debt. Socurities 0 0 16 Interstition in Debt. Socurities 0 0 16 Interstition in Debt. Socurities 0 0 17 Interstition in Debt. Socurities 0 0 18 Interstition in Debt. Socurities 0 0 19 Interstition in Debt. Socurities 0 0 10 Interstition in Debt. Socurities 0 0 10 Interstition in Debt. Socurities 0 0 11 Interstition in Debt. Socurities 0 0 0 11 Interstition in Debt. Socurities 0 0 0 0 12 Interstition in Debt. Socurities 0 0 0 0 0 13 Interstition in Debt. Socurities 0 0 0 0 0 0 0 0 0 0	S.No.	Head of Account			
Includency of comparison Comparison Comparison 1.3 Investment in Dob.1. Securities 0 0 1.4 Investment in Dob.1. Securities 0 0 1.5.5 of the balance sheet value in the case of tenue toor 1 year. 0 0 1.5.6 of the balance sheet value in the case of tenue toor 1 year. 0 0 1.5.6 of the balance sheet value. In the case of tenue toor 1 year. 0 0 1.5.6 of the balance sheet value. In the case of tenue toor 1 year. 0 0 1.5.6 of the balance sheet value. In the case of tenue up to 1 year. 0 0 1.5.6 of the balance sheet value. In the case of tenue up to 1 year. 0 0 1.6 of the balance sheet value. In the case of tenue up to 1 year. 0 0 1.7 Bioch TSK or Val of cashing value. 0 0 0 1.8 biochterin to Equity Societties 0 0 0 0 1.8 biochterin to Equity Societties 0 0 0 0 1.9 biochterin to Equity Societties 0 0 0 0 1.9 biochterin to Equity Societities to cashing and too the cashing of the cashing	1	Assets			
12 Impaction Acord 2,500,000 13 Impactment findowits accurates 0 14 Impactment findowits 0 15 Impactment findowits 0 16 Impactment findowits 0 17 Impactment findowits 0 18 Impactment findowits 0 0 18 Impactment findowits 0 0 19 Impactment findowits 0 0 0 10 Impactment findowits 0 0 0 0 11 Impactment findowits 0 0 0 0 0 11 Impactment findowits 0 0 0 0 0 0 12 Impactment findowits 0 0 0 0 0 0 0 0 0 0 0 0 0 0 <t< td=""><td>1.1</td><td>Property & Equipment</td><td>14,440,298</td><td>14,440,298</td><td></td></t<>	1.1	Property & Equipment	14,440,298	14,440,298	
1/4 Investment in Debt. Securities 0 IS 56 of the balance sheet value in the case of fermule than 1 year. 0 0 1.5 56 of the balance sheet value in the case of fermule than 1 year. 0 0 1.5 56 of the balance sheet value in the case of fermule than 1 year. 0 0 1.5 56 of the balance sheet value in the case of termule than 1 year. 0 0 1.5 56 of the balance sheet value in the case of termule prom 1 year. 0 0 1.5 56 of the balance sheet value in the case of termule prom 1 syens. 0 0 1.5 56 of the balance sheet value in the case of termule prom 1 syens. 0 0 1.5 56 of the balance sheet value in the case of termule prom 1 syens. 0 0 1.6 bits of Var of anch excutties on the catoff date as computed by the Securities fachange to the system of the catoff date as the case of termule prom 1 syens. 0 0 1.6 bits of the balance sheet value in the cover of the termule prove the system of termule prove the system of termule prove termule system of termule prove termule termule prove termule termule prove termule termule prove termule termule termule prove termule termule prove termule termule prove termule termule termule prove termule termule prove termule prove termule prove termule termule prove termule prove termule prove termule termule prove termule prove termule prove termule prove termule prove termule prove termule provalate as the prove termule prove termule prove	1.2	Intangible Assets			
It is is of the intervention in the case of intervention 1.3 year. 0 0 1.75. of the balance there value in the case of intervention 1.3 year. 0 0 1.10. The first the balance there value, in the case of intervention 1.3 year. 0 0 1.10. The first the balance there value, in the case of interve value of more than 3 years. 0 0 1.10. The first the balance there value, in the case of interve than 3 years. 0 0 1.10. Year the balance there value, in the case of interve than 3 years. 0 0 1.10. Year the balance there value, it the case of interve than 3 years. 0 0 1.11. Thisted Tase of value, it the case of interve than 3 years. 0 0 0 1.12. Year the balance there value, it the case of interve than 3 years. 0 0 0 1.11. Thisted Tase of value than 1.01 beta first			0	0	
US of the balance sheet value, in the case of tenue upp 1 year. 0 0 IL 256 of the balance sheet value, in the case of tenue upp 1 year. 0 0 IL 256 of the balance sheet value, in the case of tenue upp 1 year. 0 0 IL 256 of the balance sheet value, in the case of tenue upp 1 year. 0 0 IL 256 of the balance sheet value, in the case of tenue upp 1 year. 0 0 IL 256 of the balance sheet value, in the case of tenue upp 1 year. 0 0 IL 256 of the balance sheet value, in the case of tenue upp 1 year. 0 0 IL 256 of the balance sheet value, in the case of tenue upp 1 year. 0 0 IL 256 of the balance sheet value, in the case of tenue of more than yayas. 0 0 IL 256 of the balance sheet value, in the case of tenue of more than yayas. 0 0 IL 256 of the balance sheet value, in the case of tenue of more trans yayas. 0 0 0 IL 256 of the balance sheet value, in the case of tenue of more trans yayas. 0 0 0 IL 256 of the balance sheet value, in the case of tenue of ten					
III. 1255 of the balance shoet value. In the case of future of meru than 3 years. 0 0 III. 255 of the balance shoet value in the case of tenue upto 1 year. 0 0 III. 255 of the balance shoet value. In the case of tenue upto 1 year. 0 0 III. 255 of the balance shoet value. In the case of tenue tom 1-3 year. 0 0 III. 255 of the balance shoet value. In the case of tenue tom 1-3 year. 0 0 III. 255 of the balance shoet value in the case of tenue tom 1-3 year. 0 0 III. 256 of the balance shoet value in the case of tenue tom 1-3 year. 0 0 III. 256 of the balance shoet value in the case of tenue tom 1-3 year. 0 0 0 III. 256 of the balance shoet value in the case of tenue tom 1-3 year. 0 0 0 III. 256 of the balance shoet value in the case of tenue tom 1-3 year. 0 0 0 III. 256 of the balance shoet value in the case of tenue tom 1-3 year. 0 0 0 III. 256 of the balance shoet value in the case of tenue tom 1-3 year. 0 0 0 III. 256 of the balance shoet value in the case of tenue tom 1-3 year. 0 0 0 III. 256 of		i. 5% of the balance sheet value in the case of tenure upto 1 year.	0		
Investment Investment 0 1.13 Investment 0 0 1.13 Investment 0 0 1.13 Investment 0 0 1.14 Investment 0 0 1.15 Investment 1.15 0 0 1.16 Investment 1.15 0 0 0 1.16 Investment 1.15 0 0 <td></td> <td></td> <td>-</td> <td>-</td> <td></td>			-	-	
II. 125% of the balance sheet value, in the case of fermus from 1-3 years. 0 0 II. 125% of the balance sheet value, in the case of fermus from 3-3 years. 0 0 II. Investment in Equity Securities 0 0 II. The first of the balance sheet value, in the case of fermus of more structures on the cutoff date as computed by the Securities Exchange for 31,446,671 4,721,077 II. Structure that is applied in case on the included in the investments of any accute to be applied to the investment of any accute to be applied to the investment of any accute to be applied to the investment of any accute to be applied to the investment of any accute to be applied to the investment of any accute to be applied to the applied in case of investment in the securities which he applied to the applied in case of investment in the securities which he applied in the investment in the securities which he applied in case of investment in the securities which he applied in the investment in any accute shall be applied in case of investment in the securities which he applied in the investment in the securities which he applied in the investment in the securities which he applied in the investment in the securities which he applied in the investment in the securities which he applied in the investment in the securities which he applied in the investment in the securities which he applied in the securities in the securitis in the securitis in the securities in the securities in the sec			0	0	
III.195. of the balance sheet value, in the case of ferure of more than 3 year. 0 0 III.195. of the balance sheet value, in the case of ferure of more than 3 year. 0 0 III.195. of the balance sheet value, in the case of ferure of more than 3 year. 0 0 III.195. of the balance sheet value, in the case of the curve of the back critics where is hugher. 0 0 III.195. of the balance sheet value, in the case of a rearror included of the vacuum of		i. 10% of the balance sheet value in the case of tenure upto 1 year.	-		
15 Investment in Equity Securities				-	
IF litted 1% or vak of each securities on the cutoff date as computed by the Securities Exchange for source sources with experiments of a call source source source source sources with experiment of the source of the source source source sources with experiment of the source source source source sources with experiments of a call source of the source so	1.5		0	0	
III runitated 1006 of caning value. 0 0 III Subscription money guided In value of rate on incluided in the investments of securities poker. 3,385,406 3,385,406 V 1006 interact shall be applied to Value of runsement names of histid securities. 3,385,406 3,385,406 Provided that 1006 interact shall be applied in case of investment in any securities which are pleided in favor of Stock Change / Cleaning house against multips innancing requirements to pleided in favor of Banks against Shot Term financing arrangements. In such cases, the baixcut as provided in schedule in the subscription in respect of investment in subscriptions in respect of investment in such cases, the baixcut as provided in the favor of Stock Change / Cleaning house against Magni Financing requirements of pleided (August 28, 2017) 0 16 Investment in associated companies/undertaking 0 0 17 Investment in associated companies/undertaking 0 0 18 Statutory or regulatory depositions a computed by the Securities schange for respective securities 0 0 10 Deposition and regular body and place adainst the acchanges, cleaning house or central depository 1,500,0000 1,500,0000 110 Deposition and regular body adaption adaption anounts placed with financial institutions or debt scuttles 0 0 110 Deposition adaptinstep of manutory of ananou		i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	31,446,671	4,721,077	26,725,594
III Subscription money against investment in POMPEr for Sale: Amount paid as subscription money 0 0 N100% Halicut shall be applied to value of Investment in any asset including shares of Tisted securities 3,395,406 3,395,406 N100% Halicut shall be applied to value of Investment in any asset including shares of Tisted securities 3,395,406 3,395,406 N100% Halicut shall be applied to value of Investment in securities shall be applicable (August 25, 2017) 0 0 1.0 Investment in subscription 0 0 1.1 Investment in subscription 0 0 1.1 Investment in subscription 0 0 0 1.1 Investment in subscription 0 0 0 0 1.2 Investment in subscription 0			0	0	
provided that share have not been alloted or are not included in the investments of socutities torker.					
that are in Block, Freeze of Pledge status as on reporting date". (July 19, 2017 Image: Control Status and Status			0.005.400	0.005.400	
Provided that 100% halcut shall not be applied in case of Investment in those securities which are Predged in favor of Back schange / Classing House against Margin Financing requirements or pledged in favor of Back sagains Short Term financing arangements. In such cases, the halcut as provided in schedule II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 0 0 16 Investment in absoliates 0 0 0 17 Investment in absoliates 0 0 0 18 Investment in absoliates 0 0 0 19 Investment in absoliates 0 0 0 18 Statutory or regulatory depositivation with the exchanges, cleasing house or central depository or any other entity. 5.000.000 0 5.000.000 10 Depositivitin authorized intermediany agains borxwed securities under SLB. 0 0 0 110 Depositivitities under SLB. 0 0 0 0 124 Accude linterset, port or mark-up on amounts placed with financial instructions or debt securities 0 0 0 131 Defositivitities whether the port marcing. 0 0 0 0 132 <td></td> <td></td> <td>3,935,406</td> <td>3,935,406</td> <td></td>			3,935,406	3,935,406	
in faver or Banks against short term financing amagements in sich cases, the haizcut as provided in schedule III of the Regulations in seguent of investments had be applicable (August 25, 2017) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		Provided that 100% haircut shall not be applied in case of investment in those securities which are			
schedule III of the Řegulations in segect of Investment in Jascuttles shall be applicable (Augut 25, 2017) 0 0 16 Investment in subsidiaries 0 0 0 17. Investment in subsidiaries 0 0 0 17. Investment in subsidiaries 0 0 0 18. Statutory or regulatory depositives is computed by the Securities is change for respective securities 0 0 18. Statutory or regulatory depositives is changes, clearing house or central depository 1,500,000 0 19. Manpri depositive with autoned intermediary against bonowed securities under SLB. 0 0 0 10. Depositive for mark-up on amounts placed with financial institutions or debt securities 0 0 0 11. Divident secerivables 0 0 0 0 0 12. Divident secerivables against Rep financing. 0					
1.7 Investment In associated companies/underfaking 0 0 1.8 In Bidde 20% of VaR of each securities a computed by the Securites Exchange for respective securities 0 0 1.8 Statutory of regulatory deposits with exchange and clearing house. 0 0 0 1.9 Margin deposits with exchange and clearing house. 5,000,000 0 5,000,000 0 1.10 Deposition with authorized intermediary against borowed securities under SLB. 0 0 0 0 1.11 Other deposits and prepayments 0 0 0 0 0 1.21 Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities 0 0 0 0 1.21 Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities 0 0 0 0 1.23 Dividents receivable against Repo financing. 0					
If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities 0 0 If united. 10% of net value. 0 0 18 statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository 1,500,000 0 18 statutory or regulatory depositsbasic deposits with exchange and clearing house. 5,000,000 0 0 10 Deeposit with authorized intermediary against borrowed securities under SLR. 0 0 0 110 Divergenzyments 0 0 0 0 111 Divergenzyments 0 0 0 0 112 Divergenzyments 0 0 0 0 0 113 Divergenzyments 0			0	0	
whichevers is higher. 0 I. Functional isolation of the value. 0 18 Statutory or regulatory depositibasic deposits with the exchanges, clearing house or central depository or any other entity. 1,500,000 19 Margin deposits with exchange and clearing house. 5,000,000 0 10 Depositivith authorized intermediary against borowed securities under SLB. 0 0 110 Other depositis and prepayments 0 0 0 112 Accrued interest, profit or mark-up or amounts placed with financial institutions or debt securities 0 0 112 Accrued interest, profit or mark-up or amounts placed with financial institutions or debt securities 0 0 113 Dividends necelvables 0 0 0 114 Amount paid as purchaser under the RPO agreement. (Securities purchased under repo arrangement Amount paid as purchaser under the RPO agreement. Secured and Due for repayment within 12 months 0 0 0 115 I. Snot lem Loan to Employees: Loans are Secured and Due for repayment within 12 months 0 0 0 116 Receivables often than Itade receivables 144.461.513 144.461.513 14.46	1.7		0	0	
1.8 Statutory or equilatory depositivabasic deposits with the exchanges, clearing house or central depository 1,500,000 1,500,000 1.9 Margin deposits with exchange and clearing house. 5,000,000 0 5,000,000 1.10 Depositivith authorized intermediary against borowed securities under SLB. 0 0 0 1.11 Other deposits and prepayments 0 0 0 0 1.12 Accured interest, profit or mark-up on amounts placed with financial institutions or debt securities 0 0 0 1.12 Accured interest, profit or mark-up on amounts placed with financial institutions or debt securities 0 0 0 1.13 Dividends receivable against Repo financing. 0 0 0 0 1.14 Amount secivable against Repo financing. 0 0 0 0 0 1.14 Amount secivables other than tade receivables 14.461,513 14.461,513 14.461,513 14.461,513 14.461,513 14.461,513 14.461,513 14.461,513 14.461,513 14.461,513 14.461,513 14.461,513 11.70% 14.461,513 14.461,513 14.461,513 14.461,513 11.70% 14.		whichever is higher.	Ŭ	-	
or any other entity. Image: Control of the entity of the exchange and clearing house. 5.000.000 0 5.000.000 110 Deposit with authorized intermediary against borrowed securities under SLB. 0 0 111 Other deposits and prepayments 0 0 112 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 0 0 113 Dividendis receivables 0 0 114 Accrued interest, profit or mark-up accrued on loans to directors, subsidiaries and other related parties 0 0 113 Dividendis receivables 0 0 114 Amounts receivable against Repo financing, Amounts accelvable against Repo financing. 0 0 115 Is hold ref free Like and the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments) 0 0 115 Is hold remotive and these con accrue of entitlements against trading of securities in all markets including MIM gains. 0 0 116 It diversal receivables 114/461/513 14/461/513 117 Receivables from clearing house or securities in all markets including MIM gains. 51/91 0 118 It are are ecvivables are against trading of securities need in the blocked account after applying VAR based Halicut, (ii) cash deposited as collateral by the financee (ii	1.0			-	
1.10 Deposit with authorized intermediary against borowed securities under SLB. 0 0 1.11 Other deposits and prepayments 0 0 1.12 Accrued interest, profit or mark- up on amounts placed with financial institutions or debt securities 0 0 1.12 Chird edposits and prepayments 0 0 0 1.13 Dividents receivables 0 0 0 1.13 Dividents receivables 0 0 0 1.14 Amounts receivable against Papo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arangement shall not be included in the investments.) 0 0 1.16 Receivables other than trade receivables 14.461,513 14.461,513 1.16 Receivables from clearing house or securities exchange(s) 1 10 0 1.17 Receivables from customers 0 0 0 1.18 Receivables are against trading of securities in all markets including MM gains. 51,791 0 51,791 1.17 Receivables are against trading of securities in all markets including MM gains. 11,791 0 17,791 1.17 Receivables are against tradi	1.0		1,500,000	1,500,000	
1.11 Other deposits and prepayments 0 0 1.12 Accured interest, portitor mark-up on amounts placed with financial institutions or debt securities 0 0 1.12 Accured interest, portitor mark-up on amounts placed with financial institutions or debt securities 0 0 1.13 Dividends neceivables 0 0 0 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 0 0 1.15 I. Short Term Loan To Employees. Loans are Secured and Due for repayment within 12 months 0 0 1.16 Receivables from clearing house or securities exchange(s) 14.461,513 14.461,513 1.16 Receivables from clearing house or securities exchange(s) 0 0 1.10 I. Tork value of clains other than those on account of entitlements against trading of securities in all markets including MtM gains. 51.791 0 51.791 1.17 Receivables from customers 1 0 0 0 0 1.10% value of clainer applying VAR based Haircut. (II) cash deposited as collateral by the finance (III) 0 0 0 0 0 1.791					
1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 0 0 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 0 0 113 Dividends receivables 0 0 114 Amounts receivable against Repo financing, Amounts plad as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 0 0 115 I. Not Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 0 0 116 Receivables from clearing house or securities exchange(s) 1 1 1 1.10% value of claims other than those on account of entitlements against trading of securities in all markets including MM gains. 51.791 0 51.791 1.17 Receivables from customers 1 1 1 0 0 1.18 I. case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) 0 0 1.10 Receivables are against margin trading .5% of the net balance sheet value. 0 0 0 1.18 I. acse receivables are against margin trading .5% of the					
100% in respect of markup accued on loans to directors, subsidiaries and other related parties 0 0 113 Dividends receivables 0 0 0 114 Amounts receivable against Repo financing. Amount pold as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 0 0 0 115 I short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 0 0 0 116 Receivables from Clearing house or securities exchange(s) 14.461,513 14.461,513 14.461,513 11.6 Receivables from Clearing house or securities in all markets including MIM gains. 51.791 0 51.791 11.7 Receivables are against trading of securities in all markets including MIM gains. 51.791 0 51.791 11.7 Receivables from customers 0 0 0 0 11.1 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAB based haircut, ii) cash deposited as collateral after apply securities deposited as collateral after applying VAB based haircut, iii in ncase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase or other trade		Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities		0	
1.13 Dividends receivables 0 0 1.14 Amounts receivable against Repo financing. Amounts receivable against Repo financing. Shall not be included in the investments. 0 0 1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 0 0 1.16 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 0 0 1.16 Receivables from clearing house or securities exchange(s) 14.461,513 14.461,513 1.17 Receivables from clearing house or securities in all markets including MtM gains. 51.791 0 51.791 1.17 Receivables from customers - - - 1.18 I. Case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut. 0 0 0 1.10 Receivables from customers - - - - 1.10 Receivables from customers - - - - - 1.11 Receivables from customers - - - - - - - - - - - - -			0	0	
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) Image: Control of Control Control of Contrate Contrel Control of Control of Control of Control of Control o		Dividends receivables.	-		
shall not be included in the investments) instruction instruction 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 0 0 1.16 Receivables other than trade receivables 14461,513 14461,513 14461,513 1.16 Receivables from Clearing house or securities exchange(s) 1 1 0 0 1.17 Receivables from clearing house or securities in all markets including MtM gains. 51,791 0 51,791 1.17 Receivables from customers 1 0 0 0 1.17 Receivables for on y securities deposited as collateral after applying VAR based Haircut. 0 0 0 1.17 Receivables for any securities deposited as collateral after applying VAR based haircut. 0 0 0 1.18 In case receivables are against margin trading.5% of the net balance sheet value. 0 0 0 0 1.18 In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as 0 0 0 0 0 0 0 0 0 0 0	1.14		0	0	
ii. Receivables other than trade receivables 14,461,513 14,461,513 1.16 Receivables from clearing house or securities exchange(s)		shall not be included in the investments.)			
1.16 Receivables from clearing house or securities exchange(s) 0 1.100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 0 0 1.17 Receivables from Customers 0 0 51,791 0 51,791 1.17 Receivables from customers 0 0 0 0 0 1.18 In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haicut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haicut. 0 0 0 1. Incase receivables are against margin trading, 5% of the net balance sheet value. 0	1.15	 Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 	0	0	
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 0 0 ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 51,791 0 51,791 1.17 Receivables from customers 0 0 i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. 0 0 ii. Incase receivables are against margin trading, 5% of the net balance sheet value or value determined through adjustments. 0 0 0 iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut 0 <td></td> <td></td> <td>14,461,513</td> <td>14,461,513</td> <td></td>			14,461,513	14,461,513	
markets including MtM gains. 0 0 ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 51,791 0 51,791 1.17 Receivables from customers 0 0 i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. 0 0 i. Lower of net balance sheet value or value determined through adjustments. 0 0 ii. In case receivables are against margin trading.5% of the net balance sheet value. 0 0 iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as 0 0 collateral upon entering into contract, 0 0 0 iii. Net amount after deducting haircut 0 0 0 iv. Incase of other trade receivables are overdue, of 5 days or more, the aggregate of (i) the market 3,923,089 0 3,923,089 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 5,480,098 4,498,430 4,498,430 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after	1.16	Receivables from clearing house or securities exchange(s)			
ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 51,791 0 51,791 1.17 Receivables from Customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. 0 0 i. Lower of net balance sheet value or value determined through adjustments. 0 0 0 ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0 0 iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 3,923,089 0 3,923,089 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 2,977,238 2,977,238 1.18 Cash and Bank balances 13,568,224 0 <td></td> <td></td> <td>0</td> <td>0</td> <td></td>			0	0	
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. 0 0 i. Lower of net balance sheet value or value determined through adjustments. 0 0 ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0 ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut 0 0 iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub- accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 3,923,089 4,498,430 v. 100% haircut in the case of amount receivable form related parties. 2,977,238 2,977,238 2,977,238 1.18 Cash and Bank balances 13,568,224 0 13,568,224 0 13,568,224 ii. Bank Balance-counts 120,985,569 0 120,985,569 0 120,985,569 0		ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	51,791	0	51,791
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. 0 0 ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0 ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, 0 0 iii. Net amount after deducting haircut 3.923,089 0 3.923,089 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 3.923,089 4.498,430 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 5,480,098 4,498,430 v. Incase of other trade receivable form related parties. 2,977,238 2,977,238 v. Income that after applying VAR based haircuts. 2,977,238 2,977,238 vi. 100% haircut in the case of amount receivable form related parties. 13,568,224 0 13,688,224 0 1.18 Cash and Bank balance-customer accounts 13,568	1.17				
market value of any securities deposited as collateral after applying VaR based haircut. Imarket value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. 0 ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 ii. Net amount after deducting haircut 0 iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as 0 collateral upon entering into contract, 0 iii. Net amount after deducting haricut 3,923,089 iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 3,923,089 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 5,480,098 4,498,430 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 0 0 3,923,089 v. Incase of anount receivable form related parties. 2,977,238 2,977,238 4,498,430 value of securities held as collateral after applying VAR based haircuts. 0 13,568,224 0 13,568,224 0 13,568,224 0 13,985,569 0 120,985,569 0 120,985,569 0 120,985,569		In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii)	0	0	
ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 0 0 ii. Net amount after deducting haircut 0 0 iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as 0 0 collateral upon entering into contract, 0 0 0 iii. Net amount after deducting haricut 3,923,089 0 3,923,089 iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 3,923,089 0 3,923,089 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 5,480,098 4,498,430 value of securities purchased for customers and held in sub-accounts after applying VAR based 5,480,098 4,498,430 value of securities held as collateral after applying VAR based haircuts. 2,977,238 2,977,238 vi. 100% haircut in the case of amount receivable form related parties. 2,977,238 2,977,238 1.18 Cash and Bank balances 0 13,568,224 0 13,568,224 0 ii. Bank balance-customer accounts 120,985,569 0 120,985,569 0 120,985,569		market value of any securities deposited as collateral after applying VaR based haircut.			
ii. Net amount after deducting haircut 0 0 iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut 0 0 iii. Net amount after deducting haircut 0 0 0 iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 3,923,089 0 3,923,089 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 2,977,238 2,977,238 1.18 Cash and Bank balances 13,568,224 0 13,568,224 0 13,568,224 0 120,985,569 120,985,569 120,985,569 0 120,985,569 120,985,56			0	0	
collateral upon entering into contract, iii. Net amount after deducting haricut iii. Net amount after deducting haricut iv. Balance sheet value iv. Balance sheet value 3,923,089 v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 3,923,089 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 5,480,098 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market 5,480,098 value of securities purchased for customers and held in sub-accounts after applying VAR based 4,498,430 haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of 2,977,238 v. 100% haircut in the case of amount receivable form related parties. 2,977,238 vi. 100% haircut in the case of amount receivable form related parties. 13,568,224 0 i. Bank Balance-proprietory accounts 13,268,224 0 13,268,224 ii. Bank balance-customer accounts 120,985,569 0 120,985,569		ii. Net amount after deducting haircut		-	
iii. Net amount after deducting haricut iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 3,923,089 0 3,923,089 iv. Balance sheet value 3,923,089 0 3,923,089 3,923,089 0 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 5,480,098 4,498,430 4,498,430 value of securities purchased for customers and held in sub- accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 2,977,238 2,977,238 1.18 Cash and Bank balances 13,568,224 0 13,568,224 i. Bank balance-proprietory accounts 120,985,569 0 120,985,569			0	0	
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 3,923,089 0 3,923,089 iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 5,480,098 4,498,430 4,498,430 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 2,977,238 2,977,238 v. 10% haircut in the case of amount receivable form related parties. 2,977,238 2,977,238 0 1.18 Cash and Bank balances 13,568,224 0 13,568,224 0 13,568,224 ii. Bank balance-customer accounts 120,985,569 0 120,985,569 120,985,569 0 120,985,569		iii. Net amount after deducting haricut			
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 5,480,098 4,498,430 4,498,430 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 2,977,238 2,977,238 vi. 100% haircut in the case of amount receivable form related parties. 2,977,238 2,977,238 0 1.18 Cash and Bank balances 13,568,224 0 13,568,224 0 13,568,224 ii. Bank balance-customer accounts 120,985,569 0 120,985,569 120,985,569 120,985,569			3,923,089	0	3,923,089
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 2,977,238 2,977,238 vi. 100% haircut in the case of amount receivable form related parties. 2,977,238 2,977,238 1.18 Cash and Bank balances 13,568,224 0 13,568,224 ii. Bank balance-customer accounts 120,985,569 0 120,985,569			5,480,098	4,498,430	4,498,430
securities held as collateral after applying VaR based haircuts. 2,977,238 2,977,238 vi. 100% haircut in the case of amount receivable form related parties. 2,977,238 2,977,238 1.18 Cash and Bank balances 13,568,224 0 13,568,224 ii. Bank balance-customer accounts 120,985,569 0 120,985,569		value of securities purchased for customers and held in sub-accounts after applying VAR based	,,	,,	,,
vi. 100% haircut in the case of amount receivable form related parties. 2,977,238 2,977,238 1.18 Cash and Bank balances 13,568,224 0 13,568,224 i. Bank Balance-proprietory accounts 13,088,224 0 13,088,224 ii. Bank balance-customer accounts 120,985,569 0 120,985,569					
i. Bank Balance-proprietory accounts 13,568,224 0 13,568,224 ii. Bank balance-customer accounts 120,985,569 0 120,985,569		vi. 100% haircut in the case of amount receivable form related parties.	2,977,238	2,977,238	
ii. Bank balance-customer accounts 120,985,569 0 120,985,569	1.18		10 500 60 1		40 500 60 1

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **28-FEB-19**

of M/s. Iqbal Usman Kodv. Sec. (Pvt.) Ltd

Submission Date 04-MAR-2019 18:22:40

	SUDITISSION Date 04-IMAR-2017 10.22.40		Page	2 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	220,282,416	49,033,962	174,765,216
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	0	0	
	ii. Payable against leveraged market products	0	0	100 005 500
2.2	iii. Payable to customers Current Liabilities	120,985,569	0	120,985,569
2.2	i. Statutory and regulatory dues	107,069	0	107,069
	ii. Accruals and other payables	383,421	0	383,421
	iii. Short-term borrowings	0	0	,
	iv. Current portion of subordinated loans	0	0	
	v. Current portion of long term liabilities vi. Deferred Liabilities	0	0	
	vii. Provision for bad debts	0	0	
	viii. Provision for taxation	0	0	
	ix. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from	0	0	
	a financial institution including amount due against finance lease b. Other long-term financing	0	0	
	ii. Staff retirement benefits	0	0	
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in	0	0	
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the	0	0	
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	0	0	
2.5	Total Liabilites	121,476,059	0	121,476,059
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the	0	0	
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing The amount by which the aggregate of:	0	0	
	(i) Amount deposited by the borrower with NCCPL	0	0	
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:	0	0	
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
3.4	(b) in any other case : 12.5% of the net underwriting commitments	0	0	
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	0	0	
	exceed the total liabilities of the subsidiary	0	0	
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total	0	0	
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency	-	_	
	Amount Payable under REPO	0	0	
3.7	Repo adjustment			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **28-FEB-19**

of M/s. Iqbal Usman Kodv. Sec. (Pvt.) Ltd

Submission Date 04-MAR-2019 18:22:40

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.	0	0	
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	948,680	948,680	948,680
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	0	0	
0.10	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met	0	0	
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	0	
3.11 3.12	Total Ranking Liabilites Liquid Capital	948,680 97,857,677	948,680 48,085,282	948,680 52,340,477