Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JUL-17**

of M/s. Iqbal Usman Kodv. Sec. (Pvt.) Ltd

Submission Date 15-AUG-2017 14:30:16

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vi. 100% haircut in the case of amount receivable form related parties.1.18Cash and Bank balances12,494,524i. Bank Balance-proprietory accounts12,494,524ii. Bank balance-customer accounts164,185,197iii. Cash in hand9,0201.19Total Assets2Liabilities2.1Trade Payablesi. Payable to exchanges and clearing housei. Payable against leveraged market products					
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ii. Bank balance-customer accounts 164,185,197 0 164,185,197 iii. Cash in hand 9,020 0 9,020 1.19 Total Assets 300,127,324 59,734,058 252,968,110 2 Liabilities 2 2 2 2 100,127,324 59,734,058 252,968,110 2.1 Trade Payables 2 3 <	1.18				
iii. Cash in hand 9,020 0 9,020 1.19 Total Assets 300,127,324 59,734,058 252,968,110 2 Liabilities 2 2 2 2 2 2 2 2 2 2 2 2 2 3 2 2 3 3 2 2 2 3 3 2 2 3					
1.19 Total Assets 300,127,324 59,734,058 252,968,110 2 Liabilities 2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products					9,020
2.1 Trade Payables				59,734,058	252,968,110
i. Payable to exchanges and clearing house ii. Payable against leveraged market products					
ii. Payable against leveraged market products	2.1				
			164,185,197	0	164,185,197

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JUL-17**

of M/s. Iqbal Usman Kodv. Sec. (Pvt.) Ltd

Submission Date 15-AUG-2017 14:30:16

			Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
2.2 Current Liabilities				
i. Statutory and regulate				
ii. Accruals and other pa		1,049,033	0	1,049,033
iii. Short-term borrowing iv. Current portion of su				
v. Current portion of Ion				
vi. Deferred Liabilities	1.			
vii. Provision for bad deb viii. Provision for taxation				
	accounting principles and included in the financial statements			
2.3 Non-Current Liabilitie	2S			
i. Long-Term financir				
	obtained from financial instituion: Long term portion of financing obtained from			
b. Other long-term fina	cluding amount due against finance lease			
ii. Staff retirement bene	fits			
	res for Increase in Capital of Securities broker: 100% haircut may be allowed in			
respect of advance aga	inst shares if: d share capital allows the proposed enhanced share capital			
	ne company has approved the increase in capital			
c. Relevant Regulatory a	approvals have been obtained			
	ble delay in issue of shares against advance and all regulatory requirements			
	in paid up capital have been completed. It such advance is against the increase of capital.			
	accounting principles and included in the financial statements			
2.4 Subordinated Loans				
i. 100% of Subordinated	oans which fulfill the conditions specified by SECP are allowed to be deducted:	20,000,000	20,000,000	
	s that 100% haircut will be allowed against subordinated Loans which fulfill the SECP. In this regard, following conditions are specified:			
	t be executed on stamp paper and must clearly reflect the amount to be repaid			
after 12 months of repor	ting period			
	wed against short term portion which is repayable within next 12 months.			
	ment of loan, adjustment shall be made to the Liquid Capital and revised Liquid to eschange.			
ii. Subordinated loans w	hich do not fulfill the conditions specified by SECP			
2.5 Total Liabilites		185,234,230	20,000,000	165,234,230
Total Elabilitos	slating to :	103,234,230	20,000,000	103,234,230
 Ranking Liabilities Re 3.1 Concentration in Ma 				
The amount calculated	client-to- client basis by which any amount receivable from any of the fthe aggregate of amounts receivable from total financees.			
	urites lending and borrowing			
The amount by which the	ne aggregate of:			
(i) Amount deposited b (li) Cash margins paid a	y the borrower with NCCPL			
	nu			
	securities pledged as margins exceed the 110% of the market value of shares			
(iii) The market value of borrowed	securities pledged as margins exceed the 110% of the market value of shares			
(iii) The market value of borrowed 3.3 Net underwriting Cor	nmitments			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is				
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price;	nmitments			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mu	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mu (ii) the value by which t	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities.			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mu (ii) the value by which t In the case of rights issue	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mu (ii) the value by which t In the case of rights issu- the Haircut multiplied b	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mu (ii) the value by which t In the case of rights issu the Haircut multiplied b (b) in any other case : 12 3.4 Negative equity of s	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting 2.5% of the net underwriting commitments ubsidiary			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mu (ii) the value by which t In the case of rights issu- the Haircut multiplied b (b) in any other case : 12 3.4 <u>Negative equity of s</u> The amount by which th	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting .5% of the net underwriting commitments ubsidiary ne total assets of the subsidiary (excluding any amount due from the subsidiary)			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mu (ii) the value by which t In the case of rights issu- the Haircut multiplied b (b) in any other case : 12 3.4 <u>Negative equity of s</u> The amount by which t exceed the total liabilit	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting 2.5% of the net underwriting commitments ubsidiary te total assets of the subsidiary (excluding any amount due from the subsidiary) es of the subsidiary			
(iii) The market value of borrowed 3.3 Net underwriting Cor (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mu (ii) the value by which t In the case of rights issu- the Haircut multiplied b (b) in any other case : 12 3.4 Negative equity of s The amount by which t exceed the total liabilit 3.5 Foreign exchange a	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting 2.5% of the net underwriting commitments ubsidiary ne total assets of the subsidiary (excluding any amount due from the subsidiary) es of the subsidiary greements and foreign currency positions			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mu (ii) the value by which t In the case of rights issu- the Haircut multiplied b (b) in any other case : 12 3.4 <u>Negative equity of s</u> The amount by which the exceed the total liabilit 3.5 <u>Foreign exchange a</u> 5% of the net position in	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting 2.5% of the net underwriting commitments ubsidiary te total assets of the subsidiary (excluding any amount due from the subsidiary) es of the subsidiary			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mu (ii) the value by which t In the case of rights issu- the Haircut multiplied b (b) in any other case : 12 3.4 <u>Negative equity of s</u> The amount by which the exceed the total liabilit 3.5 <u>Foreign exchange a</u> <u>5% of the net position in assets denominated in 1</u> 3.6 Amount Payable under	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting .5% of the net underwriting commitments ubsidiary ne total assets of the subsidiary (excluding any amount due from the subsidiary) es of the subsidiary greements and foreign currency positions foreign currency.Net position in foreign currency means the difference of total foreign currency less total liabilities denominated in foreign currency			
(iii) The market value of borrowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mut (ii) the value by which the ln the case of rights issue the Haircut multiplied by (b) in any other case : 12 3.4 <u>Negative equity of second the total liabilit</u> 3.5 <u>Foreign exchange af 5% of the net position in assets denominated in for 3.6 Amount Payable under 3.7 Repo adjustment</u>	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting 2.5% of the net underwriting commitments ubsidiary ne total assets of the subsidiary (excluding any amount due from the subsidiary) es of the subsidiary greements and foreign currency positions foreign currency.Net position in foreign currency means the difference of total oreign cuurency less total liabilities denominated in foreign currency REPO			
 (iii) The market value of borrowed 3.3 Net underwriting Corr (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mutiplied b (b) in any other case 1 3.4 Negative equity of s The amount by which the exceed the total liabilities 3.5 Foreign exchange a 5% of the net position in assets denominated in field 3.6 Amount Payable under 3.7 Repo adjustment 	nmitments suse : if the market value of securites is less than or equal to the subscription altiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting 2.5% of the net underwriting commitments ubsidiary ne total assets of the subsidiary (excluding any amount due from the subsidiary) es of the subsidiary greements and foreign currency positions foreign currency.Net position in foreign currency means the difference of total ioreign currency less total liabilities denominated in foreign currency REPO			
 (iii) The market value of borrowed 3.3 Net underwriting Corr (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mutiplied by which the Haircut multiplied by (b) in any other case : 12 3.4 Negative equity of s The amount by which the amount by which the case of the total liability 3.5 Foreign exchange and 5% of the net position in assets denominated in famount by able under 3.6 Amount Payable under 3.7 Repo adjustment in the case of financier/ value of underlying sectors 	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting 2.5% of the net underwriting commitments ubsidiary he total assets of the subsidiary (excluding any amount due from the subsidiary) es of the subsidiary greements and foreign currency positions foreign currency.Net position in foreign currency means the difference of total foreign currency less total liabilities denominated in foreign currency REPO			
 (iii) The market value of borrowed 3.3 Net underwriting Corrowed 3.3 Net underwriting Corrowed 3.3 Net underwriting Corrowed 3.4 Negative equity of s The amount by which t exceed the total liabilit 3.5 Foreign exchange a 5% of the net position in assets denominated in f 3.6 Amount Payable under 3.7 Repo adjustment In the case of financier/value of underlying security assets financier/value of underlying security assets financier/value of underlying security financier/value of financier/value o	nmitments suse : if the market value of securites is less than or equal to the subscription altiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting 2.5% of the net underwriting commitments ubsidiary ne total assets of the subsidiary (excluding any amount due from the subsidiary) es of the subsidiary greements and foreign currency positions foreign currency.Net position in foreign currency means the difference of total ioreign currency less total liabilities denominated in foreign currency REPO			
 (iii) The market value of borowed 3.3 <u>Net underwriting Cor</u> (a) in the case of right is price; the aggregate of: (i) the 50% of Haircut mutility is the Haircut multiplied b (b) in any other case : 12 3.4 <u>Negative equity of s</u> The amount by which the case of the net position in assets denominated in in the case of financier/value of underlying seculation of underlying seculation in the case of financier/value of underlying seculation in the case of financeer total amount received 	nmitments suse : if the market value of securites is less than or equal to the subscription ultiplied by the underwriting commitments and he underwriting commitments exceeds the market price of the securities. se where the market price of securities is greater than the subscription price, 5% of y the net underwriting 2.5% of the net underwriting commitments ubsidiary ne total assets of the subsidiary (excluding any amount due from the subsidiary) es of the subsidiary greements and foreign currency positions foreign currency.Net position in foreign currency means the difference of total oreign currency less total liabilities denominated in foreign currency REPO			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JUL-17**

of M/s. Iqbal Usman Kodv. Sec. (Pvt.) Ltd

Submission Date 15-AUG-2017 14:30:16

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0 114,893,094	0 39,734,058	87,733,880
3.12	Liquid Capital	114,093,094	39,734,030	37,733,000